

Understanding local electrophilicity/nucleophilicity activation through a single reactivity difference index

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A local reactivity difference index R_k is shown to be able to predict the local electrophilic and/or nucleophilic activation within an organic molecule. Together with the electrophilic and/or nucleophilic behavior of the center k given by the sign, the magnitude of the R_k index accounts for the extent of the electronic activation, a behavior that allows for the use of the R_k index as a measure of the molecular reactivity especially in polar processes.

Introduction

Based on the electronic behavior of breaking and forming bonds, an organic reaction can be classified as a non-polar or a polar reaction. While non-polar reactions involve species with some radical character, polar reactions take place through zwitterionic species. Most organic molecules having polarized functional groups present polar reactivity, which is characterized by nucleophilic–electrophilic interactions. An electrophile is a neutral or positively charged molecule able to accept an amount of electron density along a polar reaction, whereas a nucleophile is a neutral or negatively charged molecule able to donate an amount of electron density during the reaction. Thus, during a polar reaction electrophiles and nucleophiles form new bonds *via* electron transfer from the nucleophiles to the electrophiles.^{1–3} The chemistry of electrophiles and nucleophiles is intimately connected to that of Lewis acids and bases as well as of redox partners. There are certain ambiphilic reagents which may, however, simultaneously act as both electrophiles and nucleophiles.

Parr and coworkers⁴ have introduced the following definition of electrophilicity (ω) within a conceptual density functional theory (DFT) framework,⁵ by taking a cue from the work of Maynard and coworkers,⁶

$$\omega = \mu^2/2\eta = \chi^2/2\eta \quad (1)$$

where the chemical potential (μ), the negative of the electronegativity (χ), is the derivative of the total energy with respect to the

electron number N at a constant external potential $v(\mathbf{r})$, and is given by⁷

$$\mu = -\chi = \left(\frac{\partial E}{\partial N}\right)_{v(\mathbf{r})} \approx -\frac{I+A}{2} \approx \frac{E_{\text{HOMO}} + E_{\text{LUMO}}}{2} \quad (2)$$

where I and A are the ionization potential and the electron affinity, and E_{HOMO} and E_{LUMO} are the frontier molecular orbital energies, respectively.

Hardness (η) is the corresponding second derivative defined as follows⁸

$$\eta = (\partial^2 E / \partial N^2)_{v(\mathbf{r})} \approx I - A \approx E_{\text{LUMO}} - E_{\text{HOMO}} \quad (3)$$

Since, the process of donating electron density from a neutral molecule is thermodynamically unfavorable, better nucleophiles can be considered to be those having a low ionization potential. Based on this idea, Domingo *et al.* have introduced an empirical (relative) nucleophilicity index (N) based on the HOMO energies obtained within the Kohn–Sham scheme,⁹ and defined as¹⁰

$$N = E_{\text{HOMO(Nu)}} \text{ (eV)} - E_{\text{HOMO(TCE)}} \text{ (eV)} \quad (4)$$

Nucleophilicity is defined relative to tetracyanoethylene (TCE) because it presents the lowest HOMO energy in a large series of molecules already investigated in the context of polar cycloadditions. This choice allows us to conveniently handle a nucleophilicity scale of positive values.

Local electrophilicity¹¹ (ω_k) and local nucleophilicity¹² (N_k), at the atomic site k may be defined in terms of the related condensed Fukui functions, f_k^+ and f_k^- , as

$$\omega_k = \omega \cdot f_k^+ \quad (5a)$$

and

$$N_k = N \cdot f_k^- \quad (5b)$$

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where the Fukui functions condensed at the atomic site k , for the nucleophilic attack (f_k^+) and for the electrophilic attack (f_k^-) may be written in terms of the respective population $q_k(N)$ of the N -electronic system of k atomic site, as^{13,14}

$$f_k^+ = q_k(N+1) - q_k(N) \quad (6a)$$

and

$$f_k^- = q_k(N) - q_k(N-1). \quad (6b)$$

In the present paper the Fukui functions are calculated in terms of the orbital coefficients of the neutral species.¹⁵

During a chemical reaction, an electrophile or a nucleophile faces both attractive as well as repulsive forces as an atom/a functional group/a molecule contains both electrons and nucleus (nuclei). Concepts of various difference reactivity descriptors, both intramolecular and intermolecular, local as well as global, have been proposed essentially to highlight the electrophilicity of a system relative to its own nucleophilicity or that of the partner. They include dual descriptor,¹⁶ electrophilicity difference,¹⁷ electrophilicity excess,¹⁸ multiphobic descriptor,¹⁹ net electrophilicity index,²⁰ net reactivity index,²¹ local hypersoftness²² etc. It should be noted that these descriptors may not be adequate in explaining charge-controlled reactions^{23,24} (e.g. hard-hard interactions). Molecular electrostatic potential or charge based descriptors would be useful in those cases.

In the present communication we propose a new reactivity difference descriptor (R_k) which is able to characterize local electrophilic/nucleophilic activation within an organic molecule, that may encompass a wide variety of systems including ambiphilic reagents and a large class of organic reactions.

The definition of R_k is given by the following three conditions to identify the centers with electrophilic ($R_k = +n.nn$) or nucleophilic ($R_k = -n.nn$) behavior as well as the ambiphilic ($R_k = \pm n.nn$) behavior in addition to eliminate the centers with marginal reactivity:

- (a) if $(1 < \omega_k/N_k < 2)$ or $(1 < N_k/\omega_k < 2)$
 then $R_k \approx (\omega_k + N_k)/2 \Rightarrow$ ambiphilic ($R_k = \pm n.nn$)
 (b) else $R_k \approx (\omega_k - N_k)$
 where $R_k > 0 \Rightarrow$ electrophilic ($R_k = +n.nn$)
 and $R_k < 0 \Rightarrow$ nucleophilic ($R_k = -n.nn$)
 (c) if $|R_k| < 0.1$, then $R_k = 0$.

In the R_k index, the sign (+, -, \pm) indicates the electrophilic or/and nucleophilic character of the center k , while the magnitude $n.nn$ provides a measure of the local activation. We further explored if the proposed R_k index could be suitable for a wider range of molecular systems displaying electrophilic and/or nucleophilic behavior, participating in polar cycloaddition reactions.^{17,25}

Results and discussion

First, an analysis of the local reactivity difference R_k index in fifteen common neutral organic molecules participating in polar cycloaddition reactions will be performed. Then the ambiphilic

behavior of dimethyl 2,3-dimethylenesuccinate **16** in polar Diels-Alder reactions will be analyzed using the R_k molecular maps of the reagents.

(a) Analysis of the local reactivity difference R_k index in common neutral organic molecules participating in polar cycloaddition reactions

Global electrophilicity ω and nucleophilicity N indices, local electrophilicity ω_k and nucleophilicity N_k , and local reactivity difference R_k indices at the most reactive centers of fifteen common neutral organic molecules participating in polar cycloaddition reactions^{17,25,26} are given in Table 1, while the structures of the corresponding molecules together with the most significant R_k values are depicted in Fig. 1. In this figure, red, blue and green colors have been chosen to mark the electrophilic, nucleophilic and ambiphilic activations, respectively. Just as the global electrophilicity and nucleophilicity indices, the electronic local activation given by the local reactivity difference R_k index can be classified as strong, moderate and marginal. For electrophilic and ambiphilic activations we can consider a strong activation if $|R_k|$

Table 1 Local reactivity difference index R_k for common organic molecules involved in polar cycloaddition reactions^a

	ω	N	k	ω_k	N_k	R_k
1	5.96	0.00	C	1.53	0.00	+1.53
			C	1.53	0.00	+1.53
2	3.24	0.98	C1	0.40	0.02	+0.38
			C2	0.77	0.04	+0.73
3	3.20	1.92	C(O)	1.06	0.08	+0.99
			C2	1.14	0.11	+1.03
4	2.82	0.65	C1	0.59	0.13	+0.46
			C2	1.41	0.22	+1.19
5	2.61	1.07	C1	0.20	0.01	+0.19
			C2	0.72	0.01	+0.72
6	2.44	2.59	C1	0.08	0.32	-0.24
			C2	0.45	1.06	± 0.75
7	1.84	2.12	C(O)	0.50	0.10	+0.40
			C2	0.68	0.02	+0.66
8	1.74	1.26	C1	0.46	0.34	± 0.40
			C2	0.82	0.46	± 0.64
9	1.05	2.83	C1	0.34	0.93	-0.60
			C2	0.18	0.47	-0.29
10	0.94	2.94	C1	0.27	1.11	-0.83
			C2	0.15	0.53	-0.39
			C3	0.19	0.37	-0.19
			C4	0.31	0.81	-0.50
11	0.93	3.13	C1	0.28	0.93	-0.65
			C2	0.14	0.64	-0.49
			C3	0.17	0.42	-0.25
			C4	0.30	0.97	-0.67
12	0.83	3.37	C1	0.24	1.15	-0.91
			C2	0.13	0.52	-0.39
13	0.42	3.20	C1	0.20	0.65	-0.45
			C2	0.18	1.51	-1.32
14	0.31	3.64	C1	0.10	1.34	-1.24
			C2	0.03	0.47	-0.44
15	0.21	4.28	C1	0.09	0.35	-0.25
			C2	0.08	1.81	-1.73

^a The compounds are ordered by decreasing electrophilicity ω power. $R_k = +n.nn$ values correspond to electrophilic centers, $R_k = -n.nn$ values correspond to nucleophilic centers, and $R_k = \pm n.nn$ values correspond to ambiphilic centers.

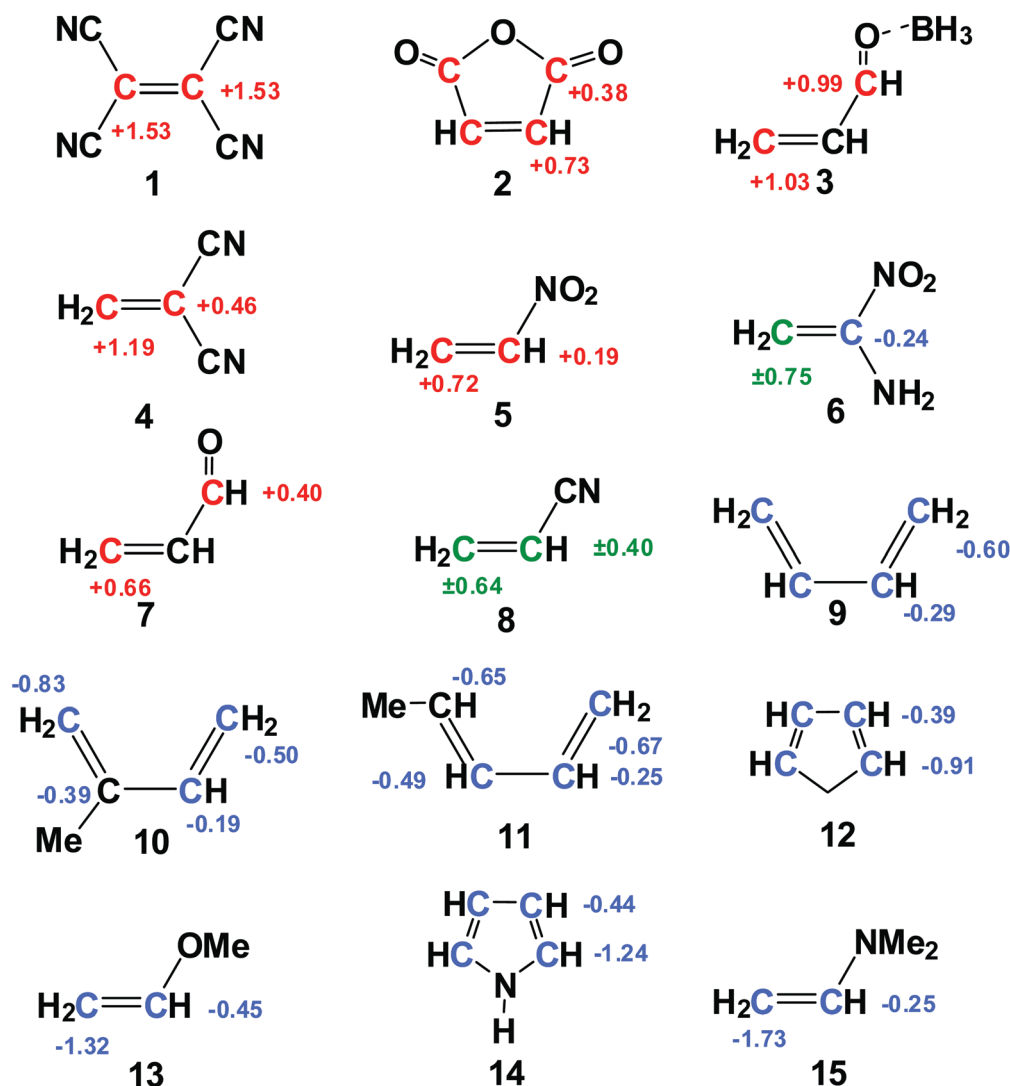


Fig. 1 Local reactivity difference index R_k for common organic molecules involved in polar cycloaddition reactions. $R_k = +n.nn$ in red corresponds to electrophilic centers, $R_k = -n.nn$ in blue corresponds to nucleophilic centers, and $R_k = \pm n.nn$ in green corresponds to ambiphilic centers.

> 0.7 eV, moderate if $0.4 < |R_k| < 0.7$ eV, and marginal if $|R_k| < 0.4$ eV, while for nucleophilic activation we can consider a strong activation if $|R_k| > 1.5$ eV, moderate if $1.5 < |R_k| < 1.0$ eV and marginal if $|R_k| < 1.0$ eV. Note that the global electrophilicity and nucleophilicity scales do not have the same references.

The fifteen compounds given in Table 1 are ordered according to decreasing electrophilicity (ω) values. For most of them, presenting a single substitution, the global nucleophilicity N index increases on going towards the bottom of Table 1. A first analysis of the R_k values given in Fig. 1 shows that whereas molecules (1–7) presenting electrophilic activated centers (red color) are located at the top of the table, those (9–15) presenting nucleophilic activated centers (blue color) are located at the bottom of the table, in clear agreement with the global electrophilic/nucleophilic behavior of these molecules. Molecules 6 and 8 presenting ambiphilic centers (green color) are located in the middle of the table. Therefore, the R_k index consistently accounts for local electrophilic and nucleophilic activation in electrophilic and nucleophilic molecules.

Analysis of the most electrophilic C2 center in the monosubstituted ethylenic series 3, 5, 7 and 8, and the most nucleophilic C2 center in the monosubstituted ethylenic series 13 and 15, indicates that the absolute value of the R_k index decreases on going from 3 to 8, and increases on going from 13 to 15, a similar trend to that followed by the global electrophilicity and nucleophilicity indices of these series. On the other hand, while compounds 1–6 present a strong electrophilic activation at the most activated center, $|R_k| > 0.7$ eV, compound 15 presents a strong nucleophilic activation at the most activated center $|R_k| > 1.5$ eV. Consequently, the R_k index accounts for local activation when we compare electrophilic and nucleophilic molecules. This means that the best polar interactions will take place between the C1 center of 1, $R_1 = +1.53$ eV, and the C2 center of 15, $R_2 = -1.73$ eV. Note that they are the most electrophilic and nucleophilic molecules of these series; $\omega = 3.20$ eV (3) and $N = 4.28$ eV (15).

Within the asymmetrically substituted electron-deficient ethylenes 3–7, substitution at the C1 position electrophilically

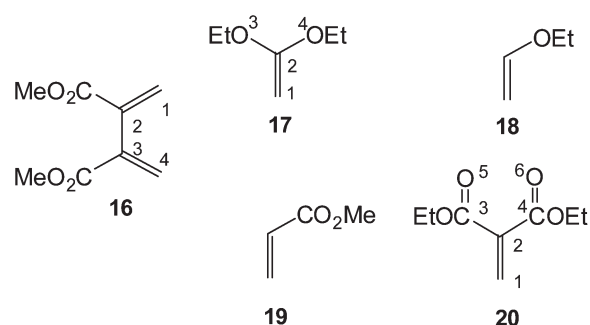
activates the C2 center. On the other hand, for α,β -unsaturated carbonyl derivatives **3** and **7**, the R_k index correctly predicts a larger electrophilic activation at the conjugated C2 position than at the carbonyl C(O) center. Note that coordination of the BH_3 Lewis acid to acrolein **7**, electrophilically activates the C2 position in complex **3**, $R_2 = +1.03$ eV, relative to that in acrolein **7**, $R_2 = +0.66$ eV. For the cyanoethylene series **1**, **4** and **8**, the R_k index also predicts an enhanced local electrophilic activation with the cyano substitution in the ethylenic framework: $R_2 = +1.53$ eV at **1**, $R_2 = +1.19$ eV at **4** and $R_2 = \pm 0.64$ eV at **8**.²⁶ Interestingly, in cyanoethylene **8** the R_k index affords an ambiphilic value at C2 similar to that in acrolein **7**. Note that both molecules have similar global electrophilicity values; $\omega = 1.84$ eV (**7**) and $\omega = 1.74$ eV (**8**). For captodative ethylene **6**, the local R_k index also correctly predicts an ambiphilic behavior at the ethylenic C2 center, $R_2 = \pm 0.75$ eV.¹⁰

For asymmetrically substituted electron-rich ethylenes **13** and **15**, the R_k index correctly predicts a higher nucleophilic activation at the C2 position, which increases with the increasing electron-releasing character of the substituent present in C1; $R_2 = -1.32$ eV at **13** and $R_2 = -1.73$ eV at **15**. Interestingly, the R_k index predicts the expected nucleophilic activation in the 1,3-butadiene series **9**, **10** and **11**. Thus, while in 2-methyl-1,3-butadiene **10** the most nucleophilic activation takes place at the C1 position, $R_1 = -0.83$ eV, at 1-methyl-1,3-butadiene **11** this takes place at the C4 position, $R_4 = -0.67$ eV.^{11b} Note that these positions are more nucleophilically activated than the C1 position in 1,3-butadiene **9**, $R_1 = -0.60$ eV, as a consequence of the electron-releasing character of the methyl group. Finally, for cyclopentadiene **12** and pyrrole **14**, the R_k index predicts a larger nucleophilic activation at C1 than at the C2 center. In addition, the C1 position of pyrrole **14**, $R_1 = -1.24$ eV, is more nucleophilically activated than the C1 position of cyclopentadiene **12**, $R_1 = -0.91$ eV. This finding accounts for the high reactivity of the former in polar reactions.

(b) Analysis of the ambiphilic reactivity of dimethyl 2,3-dimethylsuccinate **16**

In order to illustrate the utility of the local reactivity difference index R_k in the study of polar reactions, we have selected an interesting example of a Diels–Alder reaction experimentally studied,²⁷ for which the frontier orbital molecular (FMO) theory²⁸ could not explain the reactivity.

In 2004, Spino *et al.* reported an experimental study of the Diels–Alder reactions of dimethyl 2,3-dimethylsuccinate **16** with a wide variety of electron-rich ethylenes, including 1,1-diethoxyethene **17** and ethyl vinyl ether **18**, and electro-deficient ethylenes, including methyl acrylate **19** and diethyl 2-methylene-malonate **20** (see Scheme 1).²⁷ Interestingly, they observed that the fastest reaction corresponded to the reaction between the electron-deficient diene **16** and the electron-rich ethylene **17**. However, the reaction between the electron-deficient diene **16** and the electron-deficient dienophile **20** was faster than that involving the electron-rich monosubstituted dienophile **18**.²⁷ FMO theory²⁸ was used to predict the reactivity of these reagents in Diels–Alder reactions. Spino *et al.* concluded that, in normal Diels–Alder reactions, the FMO theory²⁸ could predict the



Scheme 1 Reactants involved in Spino's Diels–Alder reaction

Table 2 Local reactivity index R_k for reagents involved in the Spino's Diels–Alder reactions of diene **16** (see Scheme 1)^a

	ω	N	k	ω_k	N_k	R_k
20	1.71	1.92	C1	0.77	0.06	+0.71
			C2	0.33	0.14	+0.19
			O5 (O6)	0.13	0.67	-0.54
16	1.60	2.38	C1 (C4)	0.47	0.65	± 0.56
			C2 (C3)	0.13	0.40	-0.26
17	0.41	3.11	C1	0.17	1.58	-1.41
			C2	0.17	0.65	-0.48
			O3 (O4)	0.01	0.33	-0.32

^aThe compounds are ordered by decreasing electrophilicity ω power. $R_k = +n.nn$ values correspond to electrophilic centers, $R_k = -n.nn$ values correspond to nucleophilic centers, and $R_k = \pm n.nn$ values correspond to ambiphilic centers.

relative reactivity, but in the case of inverse-electron-demand ones, it could not.

Subsequent DFT studies²⁹ on these reactions allowed for an interpretation of the experimental results based on the polar Diels–Alder model: within the electrophilicity scale, a molecule will behave as an electrophile against any molecule situated below it. However, the same molecule will behave as a nucleophile towards molecules located above it in the aforementioned scale.^{29a} A further ELF analysis of these polar Diels–Alder reactions allowed for the explanation of the electrophilic/nucleophilic behavior of diene **16**.^{29b} Along a two-center interaction, the most favorable channel is that involving the most electrophilic and nucleophilic centers of each reagent.²⁵ When diene **16** acts as an electrophile, the most electrophilic center is one of the two terminal C1 and C4 centers of the dienic system, which are conjugated to one of the two electron-withdrawing carboxylate groups present in C2 and C3, $\omega_1 = \omega_4 = 0.47$ eV. (see Table 2). However, when diene **16** reacts with a stronger electrophile, such as **20**, it must act as nucleophile, and in this case both carbons are also the most nucleophilic center of this molecule, $N_1 = N_4 = 0.65$ eV (see Table 2). In this case, along a polar reaction the conjugated carboxylate group presents in C3 twists, disabling its electron-withdrawing character.^{29a}

Analysis of the local reactivity difference R_k indices in the reagents allows for an easy explanation of the ambiphilic behavior of diene **16** toward both electron-rich and electron-deficient ethylenes such as **17** and **20** in polar reactions. As expected, electron-rich ethylene **17** has a larger nucleophilic activation at

C1, $R_1 = -1.41$ eV, than at C₂, $R_2 = -0.48$ eV, and electron-deficient ethylene **20** has a larger electrophilic activation at C1, $R_1 = +0.71$ eV, than at C₂, $R_2 = +0.19$ eV (see Fig. 2). Note that in both molecules, the ethylenic C1 centers present high nucleophilic and electrophilic activation.

Interestingly, the R_k molecular map of reactivity³⁰ (RMMR) of diene **16** shows that the terminal C1 and C4 centers of the diene system have the same ambiphilic activation, $R_1 = R_4 = \pm 0.56$ eV. That is, diene **16** can participate in polar reactions *via* two-center interactions towards nucleophilic and electrophilic species. Note that the ethylenic C1 centers of dienophiles **17** and **20** present stronger activation than the terminal C1 and C4 centers of diene **16**. If we symmetrically divide diene **16** through the C2–C3 bond, we obtain two ethylene frameworks which resemble captodative ethylene **6**, in which the C1 center has ambiphilic activation (see Fig. 1). This feature can be understood if we consider the carboxylate in C2 as the electron-withdrawing group and the ethylene C3=C4 framework as the electron-releasing group.^{10a}

A comparison of the sum of the absolute values of R_k at the most electrophilic C1 center of diene **16** and at the most nucleophilic C1 center of electron-rich ethylene **18**, $0.56 + 1.41 = 1.97$ eV, and the sum of the absolute values of R_k at the most nucleophilic C1 center of diene **16** and at the most electrophilic C1 center of electron-deficient ethylene **17**, $0.56 + 0.71 = 1.27$ eV, suggests that the first Diels–Alder reaction will be more polar, and consequently faster,²⁵ in clear agreement with the experimental data.²⁷

During the completion of the present work we have studied the mechanism of the *N*-heterocyclic carbene (NHC) catalyzed intramolecular Stetter reaction of salicylaldehyde **21** to yield chromanone **23** (see Scheme 2).³¹

Analysis of the RMMR of the corresponding Breslow intermediate **IN** shows that the most favorable nucleophilic/electrophilic interaction along the intramolecular Michael addition takes place between the *umpolung* carbonyl C1 carbon, $R_1 = -1.63$ eV, and the β conjugated C2 carbon, $R_2 = +0.45$ eV, allowing the formation of the C1–C2 new bond present in chromanone **23**. Note that the R_k index predicts that these carbons are the most nucleophilic and electrophilic centers respectively of Breslow intermediate **IN** (Fig. 3).

We can conclude that the RMMR of the reagents involved in polar reactions can not only explain the regioselectivity and chemoselectivity, but also the reactivity based on two-center electrophilic–nucleophilic interactions.^{25,31} Note that in the cases of diene **16**, the FMO theory²⁸ is not able to explain its ambiphilic reactivity.

Conclusions

From this preliminary analysis we can conclude that the proposed local difference R_k index is able to predict the local electrophilic or/and nucleophilic activation within an organic molecule. Together with the electrophilic or/and nucleophilic behavior of the center *k* given by the sign, the magnitude of the R_k index accounts for the extent of the electronic activation, a characteristic that allows for the use of this index as a semiquantitative measure of the molecular reactivity in polar processes.

Computational details

All calculations were carried out with the Gaussian 03 suite of programs.³² DFT calculations were carried out using the

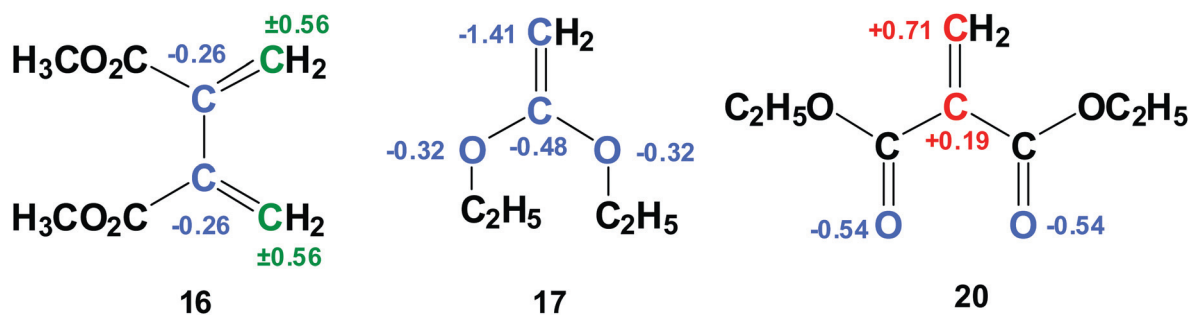
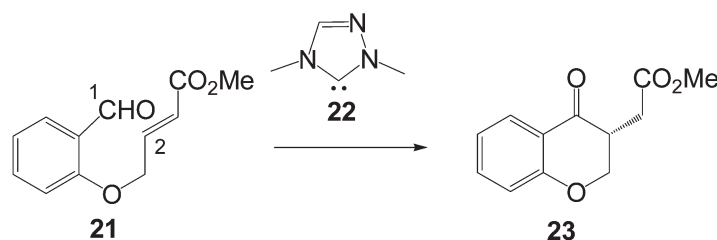


Fig. 2 RMMRs of some reagents involved in the Spino's Diels–Alder reactions of diene **16** (see Scheme 1). $R_k = +n.nn$ in red corresponds to electrophilic centers, $R_k = -n.nn$ in blue corresponds to nucleophilic centers, and $R_k = \pm n.nn$ in green corresponds to ambiphilic centers. $|R_k|$ values below 0.1 eV are not given.



Scheme 2 Intramolecular Stetter reaction of salicylaldehyde **21** to yield chromanone **23**.

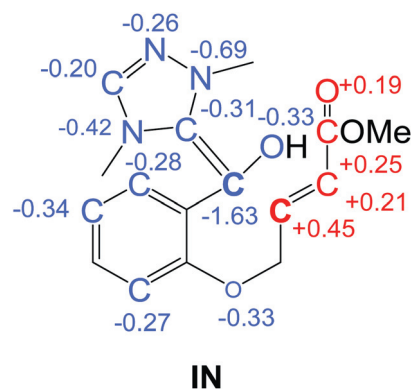


Fig. 3 RMMRs of Breslow intermediate **IN** associated with the NHC-catalyzed intramolecular Stetter reaction of salicylaldehyde **21** (see Scheme 2). $R_k = +n.nn$ in red corresponds to electrophilic centers, $R_k = -n.nn$ in blue corresponds to nucleophilic centers, and $R_k = \pm n.nn$ in green corresponds to ambiphilic centers. $|R_k|$ values below 0.1 eV are not given.

B3LYP³³ exchange-correlation functionals, together with the standard 6-31G(d) basis set.³⁴ Optimizations were carried out using the Bery analytical gradient optimization method.³⁵

The global electrophilicity index,⁴ ω , is given by the simple expression $\omega = (\mu^2/2\eta)$ (eV), in terms of the electronic chemical potential μ and the chemical hardness η . Both quantities are calculated in terms of HOMO and LUMO energies, E_{HOMO} and E_{LUMO} , using eqns (2) and (3), respectively. The nucleophilicity index, N , based on the HOMO energies obtained within the Kohn–Sham scheme,⁹ is calculated using eqn (4). This nucleophilicity scale takes tetracyanoethylene (TCE) as a reference. Local electrophilicity¹¹ and nucleophilicity¹² indices, ω_k and N_k , were evaluated using the following expressions: $\omega_k = \omega \cdot f_k^+$ and $N_k = N \cdot f_k^-$, where f_k^+ and f_k^- are the Fukui functions for nucleophilic and electrophilic attacks, respectively.¹⁵ It is expected that the qualitative trends will remain more or less unaltered in case we change the level of theory and/or basis sets. It may, however, be noted that ω , N and f_k^\pm will be affected by different extents for that change.

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